

### STATEMENT UNDER 37 CFR 3.73(b)

|  |   |                  |            |
|--|---|------------------|------------|
| Applicant/Patent Owner                                     | Freel et al.  |                  |            |
| Application No./ Patent No.                                | 09/955267   | Filed/Issue Date | 09/18/2001 |
| Entitled   | Products Produced from Rapid Thermal Processing of Heavy Hydrocarbon Feedstocks |                  |            |
| Name of Assignee   | Ivanhoe Energy, Inc.  |                  |            |
| Type of Assignee<br>(corporation, partnership, university) | corporation   |                  |            |

states that it is:

- 1 ☒ the assignee of the entire right, title and interest; or
- 2 ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017748, Frame 0047, or a true copy of the original assignment is attached.
- or
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached
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[ ] Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee:

|           |              |            |               |
|-----------|--------------|------------|---------------|
| Signature |              |            |               |
| Date      | 01/09/2007   | Typed Name | Mark J. Shean |
| Telephone | 949-567-6700 | Title      | Attorney      |

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 1222 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comment on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450.